

12-0700

SECTOR 4

# FORMALITIES LETTER



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## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS  
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#4

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/526,628	03/16/2000	Robert M. Fries	14531.55.1

Adrian J Lee  
Workman Nydegger & seeley  
1000 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, UT 84111



Date Mailed: 05/25/2000

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$540.
  - \$306 for 17 total claims over 20.
  - \$234 for 3 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1360.

*A copy of this notice MUST be returned with the reply.*

J. Bell T4  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/11/2000 GTEFFERA 00000002 09526628

01 FC:101	710.00 OP
02 FC:105	130.00 OP
03 FC:103	306.00 OP
04 FC:102	240.00 OP



CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR § 1.10)			Docket No. 14531.55.1
Applicant(s): Robert M. Fries, et al.			
Serial No. 09/526,628	Filing Date March 16, 2000	Examiner unassigned	Group Art Unit unassigned

For: A SYSTEM AND METHOD FOR EFFICIENTLY TUNING TO CHANNELS OF A VARIETY OF DIFFERENT BROADCAST TYPES

I hereby certify that the Response to Notice to File Missing Parts (in triplicate); Declaration, Power of Attorney and Petition (3pgs); PTO-1595 Recordation Cover Sheet and Petition (4pgs); Copy of Notice to File Missing Parts *Filing Date Granted*, Petition for Five Month Extension of Time (2 pgs); Check No. 118763 in the amount of \$1,890.00; Check No. 118762 in the amount of \$1,400.00; and postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 in an envelope addressed to: Box Missing Parts, The Assistant Commissioner of Patents, Washington, D.C. 20231 on DECEMBER 6, 2000.

JOLIN JOHNSON

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

EL 550 337 845 US

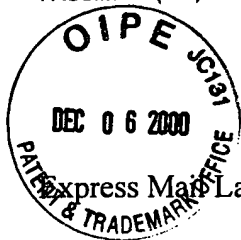
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# WORKMAN NYDEGGER & SEELEY

ATTORNEYS AT LAW

A PROFESSIONAL CORPORATION

1000 EAGLE GATE TOWER  
60 EAST SOUTH TEMPLE  
SALT LAKE CITY, UTAH 84111  
TELEPHONE (801) 533-9800  
FACSIMILE (801) 328-1707



RICK D. NYDEGGER  
DAVID O. SEELEY  
BRENT P. LORIMER  
THOMAS R. VUKSINICK  
LARRY R. LAYCOCK  
JONATHAN W. RICHARDS  
DAVID R. WRIGHT  
JOHN C. STRINGHAM  
BRADLEY K. DESANDRO  
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ROBYN L. PHILLIPS  
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R. BURNS ISRAELSEN  
DAVID R. TODD  
FRASER D. ROY  
CARL T. REED  
JESÚS JUANÓS I TIMONEDA, Ph.D.  
R. PARRISH FREEMAN, Jr.  
PETER F. MALEN, Jr.  
ADRIAN J. LEE  
KYLE H. FLINDT  
DAVID B. TINGEY  
L. REX SEARS

†ADMITTED ONLY IN CALIFORNIA

H. ROSS WORKMAN  
OF COUNSEL

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PATENT APPLICATION  
Docket: 14531.55.1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Robert M. Fries, et al.

Serial No.:

09/526,628

Filed:

March 16, 2000

For:

A SYSTEM AND METHOD FOR  
EFFICIENTLY TUNING TO CHANNELS  
OF A VARIETY OF DIFFERENT  
BROADCAST TYPES

### RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed May 25, 2000, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- (1) a Declaration, Power of Attorney, and Petition executed by the inventors Robert M. Fries, et al.;

- (2) an Assignment from the inventors Robert M. Fries, et al. to WebTV Networks, Inc.;
- (3) a copy of the Notice to File Missing Parts of Application - Filing Date Granted;
- (4) Petition for Five Month Extension of Time, including Check No. 118763 for \$1,890.00;
- (5) Check No. 118762 in the amount of \$1,400.00 to cover the filing fee for the above-identified application, the recordation fee for the enclosed patent assignment, and the surcharge fee pursuant to 37 C.F.R. § 1.16(e). These fees have been calculated as follows:

Basic filing fee for a large entity	=	\$ 690.00
Fee for independent claims (3 - 3 x \$78)	=	\$ 234.00
Fee for total claims (17 - 20 x \$18)	=	\$ 306.00
Assignment recordation fee	=	\$ 40.00
Surcharge fee	=	\$ 130.00
TOTAL	=	\$1,400.00

Please debit any additional fees, or credit any overpayment, to Deposit Account No. 23-3178. Triplicate copies of this sheet are enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or to credit any overpayment to Deposit Account No. 23-3178. Copies of this sheet are enclosed in triplicate.

X Any additional filing fees required under 37 C.F.R. § 1.16.

X Any patent application processing fees under 37 C.F.R. § 1.17.

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3178. Copies of this sheet are enclosed in triplicate.

X Any patent application processing fees under 37 C.F.R. § 1.17.

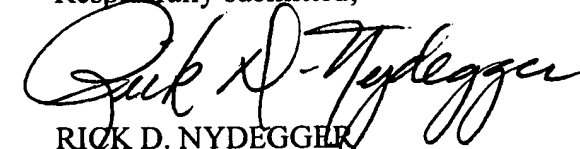
— The issue fee set in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

X Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

Please address all future correspondence in connection with this case to the attention of the undersigned.

Dated this 6<sup>th</sup> day of DECEMBER, 2000.

Respectfully submitted,



RICK D. NYDEGGER  
Attorney for Applicant  
Registration No. 28,651



**022913**

PATENT TRADEMARK OFFICE

RDN:dms

Enclosures

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